PTO/SB/21 (AW)(03-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 6

Application Number	10/626,271
Filing Date	July 24, 2003
First Named Inventor	T. Yamaguchi et al.
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket No.	MDA-2880US1

	ENCLOSURES (Check all that apply	)			
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/Declaration(s)	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application		After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)	Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)		Proprietary Information  Status Letter  Other Enclosure(s) (please dentify below):  return postcard  Form 1449 (copy)		
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:  OF APPLICANT, ATTORNEY OR AG	FNT			
Firm or					
Individual Allan Ratner	Registration No. (Attorney/Ag	gent)   <b>19</b>	9,717		
Signature					
Date September 10, 2003					
CERTIFICATE OF TRANSMISSION / MAILING					
I hereby certify that this correspondence is being facsimile postage as first class mail in an envelope addressed to: Cova 22313-1450 on this date:  Name (Print/Type)  Allan Ratner	transmitted to the USPTO or deposited with the Urommissioner for Patents, P.O. Box 1450, Alexandria	nited States a,	Postal Service with sufficient  September 10, 2003		
Signature		Date :	September 10, 2003		

This collection of information is required by 67 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: COMMISSIONER FOR PATENTS, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/626,271

Applicant:

T. Yamaguchi et al.

Filed:

July 24, 2003

Title:

METHOD AND APPARATUS FOR PROCESSING A DATA SERIES

INCLUDING PROCESSING PRIORITY DATA (AS AMENDED)

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned To Be Assigned

Confirmation No.: Docket No.:

MDA-2880US1

## CORRECTION TO INFORMATION DISCLOSURE STATEMENT FILED JULY 24, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In the Information Disclosure Statement filed July 24, 2003, the following references were incorrectly cited.

- 1) US  $5,\underline{1}17,145$ : The correct reference number is US  $5,\underline{6}17,145$ ; and
- 2) Decision of Rejection for Japanese Application No. 10-065582 mailed January 7, 2003: The correct reference number is 10-065581.

The enclosed Form 1449 previously submitted with the July 24, 2003, Information Disclosure Statement has been corrected.

MDA-2880US1 PATENT

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Alfah Ratner, Reg. No. 19,717 Jack J. Jankovitz, Reg. No. 42,690

Attorneys for Applicants

AR/fp

Enclosure: PTO Form 1449

Dated: September 10, 2003

Suite 301, One Westlakes, Berwyn P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

September 10, 2003

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Substitute for Form 1440 PER AR

Complete if Known 626,271 To Be Assigned **Application Number** Filing Date T. Yamaguchi et al. First Named Inventor To Be Assigned Art Unit To Be Assigned **Examiner Name** MDA-2880US1 Attorney Docket No.

Date

Considered

SHEET 1 of 3

	U.S. PATENT DOCUMENTS				
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US 6,094,457	07/00	Linzer et al.	Entire document.
		US 5,117,145 3,6/7/45	04/97	Huang et al.	Entire document.
		US 5,699,474	12/16/97	Suzuki et al.	Entire document.
		US 6,088,360 A	07/11/00	Amaral et al.	Entire document.
		US 5,512,939 A	04/30/96	Zhou	Entire document.
		US 5,231,492 A	07/27/93	Dangi et al.	Entire document.
		US 5,928,330 A	07/27/99	Goetz et al.	Entire document.

Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		JP 02-86241 (with English abstract)	03/27/90		Entire document.	
		JP 03-276941 (with English abstract)	12/09/91		Entire document.	
		JP 04-92536 (with English abstract)	03/25/92		Entire document.	
		JP 05-30138 (with English abstract)	2/5/93		Entire document.	
		JP 05-64167 (with English abstract)	3/12/93		Entire document.	Γ
		JP 05-137125 (with English abstract)	6/1/93		Entire document.	
		JP 05-327758 (with English abstract)	12/10/93		Entire document.	
		JP 06-339137 (with English abstract)	12/06/94		Entire document.	
		JP 07-264573 (with English abstract)	10/13/95		Entire document.	
		JP 07-312756 (with English abstract)	11/28/95		Entire document.	
		JP 08-18524 (with English abstract)	01/19/96		Entire document.	
		JP 09-191453 (with English abstract)	07/22/97		Entire document.	
		JP 09-214447 (with English abstract)	08/15/97		Entire document.	
		JP 10-164530 (with English abstract)	06/19/98		Entire document.	
		JP 10-232658 (with English abstract)	09/02/98		Entire document.	]
		JP 10-243374 (with English abstract)	09/11/98		Entire document.	I

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

Examiner

Signature

<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).
<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 If possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for Form

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SHEET 2 of 3

C mplete if Kn wn			
Application Number	To Be Assigned 10/626,271		
Filing Date	Herewith 7/24/13		
First Named Inventor	T. Yamaguchi et al.		
Art Unit	To Be Assigned		
Examiner Name	To Be Assigned		
Attorney Docket No.	MDA-2880US1		

	U.S. PATENT DOCUMENTS					
			Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ⁵	
		JP 63-73786 (with English abstract)	4/4/88		Entire document.		
		JP 05-244435 (with English abstract)	9/21/93		Entire document.		
		JP 06-70307 (with English abstract)	3/11/94		Entire document.		
		JP 06-276480 (with English abstract)	9/30/94		Entire document.		
		JP 06-350649 (with English abstract)	12/22/94		Entire document.		
		JP 08-294123 (with English abstract)	11/5/96		Entire document.		
		JP 09-98430 (with English abstract)	4/8/97		Entire document.		
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Signature		Consider	rea
*EXAMINER: Initial if reference co	insidered, whether or not citation is in confor	rmance with MPEP 609. Draw line through cite	ation if not in conformance and not

Date

Examiner

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

SHEET 3 of 3

PTO/SB/08B (AW 4/25/03) (04-03)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE k Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Compl te if Kn wn ,			
Application Number	To Be Assigned 10/626, 27/		
Filing Date	Herewith 1/24/03		
First Named Inventor	T. Yamaguchi et al.		
Art Unit	To Be Assigned		
Examiner Name	To Be Assigned		
Attorney Docket No.	MDA-2880US1		

	NON-PATENT LITERATURE DOCUMENTS		
 Cite No.1	The parties of the proposition, catalog, etc.), date, bade(s), volume-issue number(s), building of city and/		
	H. Shimamura et al., "A Modeling of Multimedia Presentation with Quality Control", Research Report of Information Processing Society, 94-DPS-66-20, pp. 115-120, July 8, 1994.		
	S. Oh et al., "The QoS Architecture In the Distributed Multimedia System Symphony", Research Report of Information Processing Society, 95-DPS-70-7, pp. 39-44, May 25, 1995.		
	Hiroshi Fujiwara "Saishin MPEG Kyokasho", ASCII, pp. 236-237, August 1, 1994 (with partial English translation).		
	Notice of Reasons of Rejection for Japanese Application No. 2002-230064 mailed January 7, 2003 (with English translation).		
	Decision of Rejection for Japanese Application No. 10-065582 mailed January 7, 2003 (with partial English translation). $10-065582$		
	K. Hashimoto et al., "QoS Guarantee Functions for Continuous Media Services", Research Report of Information Processing Society, Vol. 95, No. 61, 95-DPS-71, pp. 97-102, July 13, 1995.		
	D. Horie et al., "Realization of An Audio Adjustment Mechanism Considering Environment in A Distributed Multimedia System", Research Report of Information Processing Society, Vol. 96, No. 20, 96-DPS-75, pp. 79-84, March 1, 1996.		
	T. Yamaguchl et al., "Synchronized Audio and Visual Decoding and Transmission Scheme that is tolerant to Variation of Processing Environment", Research Report of Information Processing Society, Vol. 98, No. 15, 98-OS-77 and 98-DPS-87-, pp. 147-153, February 27, 1998.		
	T. Nakajima, "Operating System Supports for Continuous Media", ITE Technical Report Vol. 18, No. 39, pp. 43-48, July 7, 1994.		
	Notice of Reasons for Rejection mailed September 17, 2002 for a corresponding Japanese patent application.		
	Latest MPEG Handbook", Ascii Corporation, Tokyo, 12/21/95, p. 102-107 and 236-237.		
	Japanese language search report for Int'l Appln. No. PCT/JP98/01084 dated July 21, 1998.		
	English translation of Japanese language search report.		

Examiner		
	Date	
Signature		
Signature	Considered	

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Applicant's unique citation designation number (optional).

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